## **Regulations Governing Doctoral Studies, Qualifying and Dissertation Related Exams**

Dept. of Electrical Engineering (Program C), Yuan Ze University

Amended & Approved: by the faculty at #105-02 meeting on Apr. 16, 2018 by the faculty at #106-06 meeting on May 15, 2018 by the course committee at #107-03 meeting on Mar. 21, 2019 by the faculty at #107-04 meeting on Apr. 15, 2019 by the faculty at #108-02 meeting on Aug. 28, 2019 by the course committee at #108-04 meeting on Mar. 18, 2020 by the faculty at #111-02 meeting on Sep. 06, 2022 Amended by the 7th Academic Affairs Meeting, Academic Year 2022, on May 31, 2023 Amended by the 2nd Academic Affairs Meeting, Academic Year 2024, on November 20, 2024

#### I. Advisor

Doctoral students, during their first year of entry into the Program, are required to select their individual dissertational advisors from the full-time faculty of the Program, as well as the full-time faculty of the International Program in of the college of Electrical and Communication Engineering, with ranking of assistant professor and beyond. If necessary, an application for appointing one and only one co-advisor may be submitted prior to the end of the first year. The co-advisor must have Ph.D. degree or ranking of assistant professor and beyond. Once the advisor (and/or co-advisor) is/are selected, doctoral students are not allowed to change their advisors at will. In case there is a need of changing an advisor, the student, with the written consent of his/her original advisor, is allowed to submit an application to the Program for changing an advisor on a one-time basis.

#### **II. Study requirements**

#### 1. Required subjects

A doctoral student must take 4 credit hours of required subjects.

#### 2. Elective subjects

(1) A doctoral student must take a minimum of 18 credit hours of elective courses within the allowable study period, where 15 credit hours must be obtained from the courses offered by the department.

(2) Postgraduate students in master's program directly leading to the doctoral degree are required to complete a minimum of 30 credit hours within the allowable study period, inclusive of the elective courses in the first year of master's program. (The number of recognizable credit hours completed in master's program will be handled according to the University's regulation.)

(3) A foreign postgraduate students in master's program directly leading to the doctoral degree is required to complete a minimum of 34 credit hours, where 15 credit hours must be obtained from the courses offered by the department, within the allowable study period, inclusive of the elective courses in the first year of master's program. (applicable to those who entered the program in or before Fall 2022) (The number of recognizable credit hours completed in master's program will be handled according to the University's regulation.)

#### 3. Cross-system courses:

The students, who wish to take the courses offered in the special program for the part-time master's students, will not receive the credit hours unless the application form for cross-system courses is filed.

#### 4. Academic research ethics education course:

The students, who enter the program, should complete the academic research ethics education course by the end of the first semester, according to the University's guidance of the academic research ethics education. In case it is missed, the degree's oral exam cannot proceed until the make-up course is taken.

#### **III.** Qualifying exam

#### 1. Exam period

Qualifying exam will be held once each semester if there is a demand. (in principle, in February and October respectively). Doctoral students must take the qualifying exam within three years of their entry into the program. Within the three years, doctoral students can take the exam as many times as possible. (If failing the qualifying exam, the students may follow the provisions of Article 5 stated herein.)

#### 2. Exam method

The exam is on the basis of a written form. The exam subjects for each exam can be chosen within the limit of those being not passed.

#### 3. Exam subject

Choose 2 subjects from the list, according to the student's research field in Electrical Engineering. Please see Attachment I for the list.

#### 4. Exam passing standard

The total score for each subject is 100 points, and the passing standard is to attain 70 points or higher. Those subjects being passed are exempted from being re-tested.

#### 5. Provision of failing the exam

Those who failed in the qualifying exam after three years will be recommended to publish additional academic papers in recognized journals instead prior to obtaining their doctoral degree, one subject fail for additional 1.0 point for paper publication requirement and two subject fails for additional 2.0 point. For paper ranking and point counting, please follow the same provisions stated in Item V, Standards of doctoral publications.

#### 6. Alternative provision of failing the exam

Those who cannot fulfill the qualifying exam requirements may choose to use the satisfactory grades of the corresponding courses to waive the exam, with the consent of his/her advisor. Please submit accordingly "The form of doctoral qualification examination waiver and course

substitution for the department of electrical engineering (program C), Yuan Ze University". Note that the standard of passing grades is stated in the note of the waiver form, as seen in the Attachment II.

#### **IV. Dissertational exam**

#### 1. Exam format:

The dissertational exam is on the basis of oral defense. The oral defense will be conducted by the dissertational exam committee according to the provisions of the Ministry of Education.

#### 2. Establishment:

With the approval of the advisors, the students should apply to establish the exam according to the University's schedule and regulation.

#### 3. Topical check:

A two-page draft of the dissertation should be submitted to the committee of Admission and Student Affairs, when the student applies to establish the exam. The committee will perform the topical check. The student will have one month to revise the draft and get it re-checked, if it is rejected by the committee.

#### 4. Originality assessment:

The student should complete the process of thesis/dissertation plagiarism detection for originality assessment prior to the degree's oral exam, and the level of total similarity is required to be less than 30%. In addition, the form entitled "Thesis Originality Assessment Checklist" along with the full report from the detection system (excluding his/her own published papers, the table of contents, the abstract, and the references therein) should be reviewed by his/her advisor(s), and be handed in to all exam committee members for reference at the exam day. Regarding the report from the detection system, the percentage of similarity with a single source should not exceed 5%, excluding his/her own published papers. Please mark his/her own published papers in the reference list in the report. The software Turnitin is recommended as the detection system.

#### V. Standards of doctoral publications

Before obtaining a doctoral degree, doctoral students are required to meet the following publication requirements, in addition to completion of dissertation.

The student has to obtain at least 2.0 points for academic papers coauthored with the advisor published under the name of Yuan Ze University in the journals belonging to Scientific Citation Index (SCI). Of the published and counted papers, there should be at least one publication with the status of the first author (counted after excluding the advisor and co-advisor). The points for the published papers will be given according to the statement below:

It is given according to the student's ranking status in the author list of each publication, counted after excluding the advisor and co-advisor. It will be respectively deemed 1.0, 0.8, 0.5,

0.3 points for the student status of the first, the second, the third and the fourth author; it will be deemed 0.1 points for the student status of the fifth author or after.

The content of the published papers must be derived from the dissertation. Also, the submission dates of the papers have to coincide with the time when the student stays in the program.

The papers can be deemed when they get published; alternatively, they can be deemed as long as the documentations of acceptance are shown.

The ranking and classification of the journals are handled according to the norm enacted by the Program.

The standards stated herein are the necessary conditions to obtain a doctoral degree. To hold an oral defense, the student must submit the request on the advisor's recommendation and receive the approval of the Program Faculty Meeting.

#### **VI.** Questions and Disputes

For those doctoral students having problems about the graduation requirements, please consult with the Program Office as early as possible. In case of any dispute over graduation requirements, it will be settled through the Program Faculty Meeting.

In the course of dissertation, the students cannot change their advisors at will. In case there is a need of changing an advisor, the student is required to submit "Request to change the advisor" and "Agreement for changing the advisor" forms to the Program for approval. In case of any dispute over the change of the advisor, it will be settled through the Program Faculty Meeting.

#### **VII. Enaction and Amendment**

The regulations herein will come into effect after approval by the Program Faculty Meeting, and by the various committee of the respective upper ranks and the University. Amendment to the regulations shall follow the same procedure.

### 元智大學電機工程學系(丙組) 博士班資格考選考科目及參考書目

## Dept. of Electrical Engineering (Program C), Yuan Ze University List of the subjects of qualifying exams and their reference texts

105.11.03105-02 系務會議修訂通過107.05.15106-06 系務會議修訂通過113.11.20113-02 教務會議修訂通過

Amended by the 2nd Academic Affairs Meeting, Academic Year 2024, on November 20, 2024

課號 Course Code	選考科目-中文名稱 Subjects Title-Chinese	選考科目-英文名稱 Subjects Title-English	參考書目與參考範圍 Reference Texts and Scope
EEC509	固態物理	Solid-State Physics	當次考試公告 Announcement of the current exam
EEC511	光學設計	Optical Design	同上 Same as above
EEC514	傅立葉光學	Fourier Optics	同上 Same as above
EEC519	液晶顯示光學	Optics of Liquid Crystal Displays	同上 Same as above
EEC523	影像檢測技術	Image Inspection and Detection Technique	同上 Same as above
EEC526	薄膜光學	Thin Film optics	同上 Same as above
EEC528	電腦模擬設計與實作	Coding Alchemy:Structure and Algorithms For Simulation	同上 Same as above
EEC530	光電子學	Opto-Electronics	同上 Same as above
EEC531	半導體元件	Semiconductor Devices	同上 Same as above
EEC532	液晶顯示器原理	Principle of Liquid Crystal Displays	同上 Same as above
EEC534	雷射原理與應用	Laser Principle and Application	同上 Same as above
EEC540	發光二極體原理與應 用	Principles and Applications of Light Emitting Diodes	同上 Same as above
EEC541	太陽能光電元件	Photovoltaic Devices	同上 Same as above
EEC542	半導體製程技術導論	Introduction to Semiconductor Manufacturing Technology	同上 Same as above
EEC543	有機發光元件及物理	Organic light-emitting devices and physics	同上 Same as above
EEC546	矽光子學導論	An Introduction to Silicon Photonics	同上 Same as above
EEC549	前瞻光電元件導論	Introduction of the advanced optoelectronic devices	同上 Same as above
EEC550	半導體雷射動態	Nonlinear Dynamics of Semiconductor Lasers	同上 Same as above
EEC551	薄膜工程	Thin-film Technology	同上 Same as above
EEC561	機器學習與其應用	Machine Learning and Its Applications	同上 Same as above
EEC562	量子力學	Quantum Mechanics	同上 Same as above
EEC563	人工智慧與其應用	Artificial Intelligence and Its Applications	同上 Same as above
EEC564	光電積體電路	Electrooptical Integrated Circuits	同上 Same as above

# 元智大學電機工程學系(丙組)博士班資格考選課替代抵免表

# Waiver Form of PhD Qualification Exam

Dept. of Electrical Engineering (Program C), Yuan Ze University

105.11.03 105-02 系務會議修訂通過 107.05.15 106-06 系務會議修訂通過 113.11.20 113-02 教務會議修訂通過

113.11.20 113-02 秋扬音诫修司通過

Amended by the 2nd Academic Affairs Meeting, Academic Year 2024, on November 20, 2024

申請人姓名 Student's Name	申請日期 Date		
學號 Student I.D.		聯絡電話 Phone number	

#### 申請抵免科目 Course list to waive the qualifying exams:

課號 Course Code	科目 Course	學期成績 Grade	抵免博士班資格考選考科目 PhD qualifying exam subjects to be waived

申請人簽名

指導教授簽名

Applicant Signature \_\_\_\_\_ A

Advisor Signature

備註 Note:

1、申請時間:學期成績公告後一週內申請,須附學期成績單。

Applicant must submit this form along with her/his transcript within 7 days after the score of a corresponding course is announced.

- 2、抵免用科目學科成績須達 85 分以上始准予申請抵免。 The minimum score for waiving the qualification exam of a subject is 85 points.
- 3、舊生可朔及既往,若以選課替代,課程自971學期開始採計,962學期以前的成績紀錄不予採計。

The above rules are only applicable to the courses offered since the school year of 2008.